

## ORIGINAL ARTICLE

# Overcoming the fundamental light-extraction efficiency limitations of deep ultraviolet light-emitting diodes by utilizing transverse-magnetic-dominant emission

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While the demand for deep ultraviolet (DUV) light sources is rapidly growing, the efficiency of current AlGaIn-based DUV light-emitting diodes (LEDs) remains very low due to their fundamentally limited light-extraction efficiency (LEE), calling for a novel LEE-enhancing approach to deliver a real breakthrough. Here, we propose sidewall emission-enhanced (SEE) DUV LEDs having multiple light-emitting mesa stripes to utilize inherently strong transverse-magnetic polarized light from the AlGaIn active region and three-dimensional reflectors between the stripes. The SEE DUV LEDs show much enhanced light output power with a strongly upward-directed emission due to the exposed sidewall of the active region and Al-coated selective-area-grown n-type GaN micro-reflectors. The devices also show reduced operating voltage due to better n-type ohmic contact formed on the regrown n-GaN stripes when compared with conventional LEDs. Accordingly, the proposed approach simultaneously improves optical and electrical properties. In addition, strategies to further enhance the LEE up to the theoretical optimum value and control emission directionality are discussed.

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**Keywords:** deep ultraviolet; light-emitting diodes; light-extraction efficiency

## INTRODUCTION

The electromagnetic wavelength range between 200 nm and 280 nm is referred to as ultraviolet (UV)-C or, simply, as deep-ultraviolet (DUV). One of the most remarkable characteristics of DUV light is that it can strongly damage bacteria and viruses, and for this reason, it has been used in air/water/food purification and sterilization to protect humans from serious health threats.<sup>1–3</sup> Other major applications of DUV light include polymer curing, semiconductor photolithography, and high-density optical recording.<sup>3–6</sup> Currently, man-made DUV light sources are employed for those applications because natural DUV light from the Sun is absorbed by ozone and O<sub>2</sub> molecules in the Earth's stratosphere.<sup>7</sup> Hg-vapor lamps have been widely used as conventional DUV light sources for over 100 years despite their inefficient energy usage, bulky and heavy structure, and serious environmental burden arising from mercury (Hg) usage and disposal. New UV light sources based on AlGaIn-based UV light-emitting diodes (LEDs) have attracted much attention due to their many advantages over Hg-vapor lamps including compact size, low power consumption, instant startup, environmental friendliness and wavelength tunability by adjusting the Al content in the Al<sub>x</sub>Ga<sub>1–x</sub>N active region (210 nm for AlN ~365 nm for GaN).<sup>8,9</sup> Recent vibrant research on AlGaIn-based UV LED has led to remarkable developments in external-quantum

efficiency (EQE). However, the EQE decreases rapidly as the wavelength becomes shorter (by increasing the Al content in the Al<sub>x</sub>Ga<sub>1–x</sub>N). Although Shatalov *et al.*<sup>10</sup> reported AlGaIn DUV LEDs with an EQE above 10% at 278 nm, typical EQE values of commercial DUV LEDs are less than 5%.<sup>1</sup>

The dominant reason for such a low EQE is the poor light-extraction efficiency (LEE) caused by the strongly anisotropic light emission that is mostly in a direction within the *c*-plane of Al<sub>x</sub>Ga<sub>1–x</sub>N.<sup>1,8,9</sup> The strongly anisotropic emission, called sidewall emission in this study, is due to the unique valence band (VB) structure of AlGaIn at high Al contents (*x* > ~0.25).<sup>11–13</sup> The topmost VB of high-Al-content AlGaIn is the crystal field split-off hole (CH) band, which has a P<sub>z</sub>-orbital-like property. Therefore, AlGaIn dominantly emits transverse magnetic (TM)-polarized light propagating perpendicular to the *c*-axis with the light's electric field parallel to the *c*-axis (*E*//*c*). However, conventional LED chip geometries favor extracting light propagating parallel to the *c*-axis, and thus, the LEEs of AlGaIn-based DUV LEDs with conventional chip geometries do not exceed 10%.<sup>10,14,15</sup> Conventional LEE-enhancing techniques—such as surface roughening,<sup>16,17</sup> substrate patterning<sup>18</sup> and incorporating anti-reflective coatings,<sup>19</sup> photonic crystals<sup>20</sup> and surface plasmonics<sup>21</sup>—are effective for GaInN-based visible LEDs but have a negligible effect on AlGaIn DUV LEDs.<sup>14</sup>

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According to recent finite difference time domain calculations, the extraction of TM-polarized DUV light is  $>10$  times lower than that of transverse electric (TE)-polarized light.<sup>22</sup> Thus, a new strategy that is totally different from conventional strategies, utilizing the strong sidewall emission in AlGaIn DUV LEDs, should be developed to demonstrate DUV LEDs with high LEEs, and thus, high EQEs.

Here, we present a new LEE-enhancing concept for AlGaIn DUV LEDs. The proposed DUV LEDs, called sidewall emission-enhanced (SEE) DUV LEDs, have multiple narrow mesa stripe structures exposing the sidewall of the multiple-quantum well (MQW) active region to efficiently extract TM-polarized DUV light. In addition, Al-coated selective-area-grown n-type GaN micro-mirrors are located between the exposed MQW sidewalls to reflect the DUV photons in the upward direction. The fundamental light emission characteristics of AlGaIn-based DUV LEDs were considered theoretically, and the effectiveness of the proposed LEE-enhancing strategy was experimentally verified with an  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{N}$ -based SEE DUV LED ( $\lambda_{\text{peak}}=285$  nm). The SEE DUV LEDs show not only strong improvement in light extraction through the sidewalls of the MQWs and enhanced directional emission toward the top enabled by the Al micro-mirrors, but also a much lower operating voltage compared with conventional DUV LEDs.

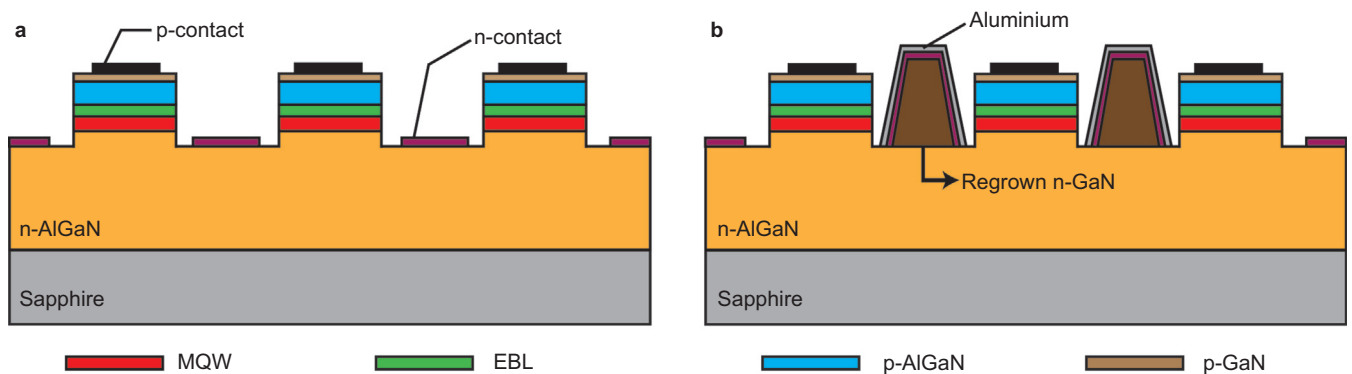
## MATERIALS AND METHODS

### Growth of the AlGaIn-based DUV LED structure

A conventional  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{N}/\text{Al}_{0.55}\text{Ga}_{0.45}\text{N}$  MQW DUV LED wafer (for  $\lambda_{\text{peak}}=285$  nm DUV emission) was grown on a 4-inch *c*-plane sapphire substrate by metal-organic vapor phase epitaxy. The 285-nm DUV LED consists of an AlN buffer layer, AlGaIn/AlN superlattice buffer layers, a 2  $\mu\text{m}$ -thick Si-doped (Si concentration  $[\text{Si}]=1\times 10^{19}$   $\text{cm}^{-3}$ )  $\text{Al}_{0.55}\text{Ga}_{0.45}\text{N}$  n-type cladding layer, five-period MQWs composed of 3 nm-thick undoped  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{N}$  wells and 10 nm-thick undoped  $\text{Al}_{0.55}\text{Ga}_{0.45}\text{N}$  barriers, a 20 nm-thick Mg-doped (Mg concentration  $[\text{Mg}]=2\times 10^{20}$   $\text{cm}^{-3}$ )  $\text{Al}_{0.7}\text{Ga}_{0.3}\text{N}$  electron blocking layer, a Mg-doped (Mg concentration  $[\text{Mg}]=2\times 10^{20}$   $\text{cm}^{-3}$ ) AlGaIn p-type cladding layer having Al content grading from 65% to 0%, and a 20 nm-thick Mg-doped (Mg concentration  $[\text{Mg}]>2\times 10^{20}$   $\text{cm}^{-3}$ ) GaN p-type contact formation layer. The energy band diagram of the DUV LED structure is shown in Supplementary Fig. S1.

### SEE DUV LEDs

Figure 1a and 1b schematically shows the structures of the reference and the SEE DUV LED, respectively. Both LEDs have multiple narrow



**Figure 1** Device geometries. (a) Schematic of the conventional DUV LED structure (reference device) having narrow mesa stripes and (b) a proposed LED structure called the SEE DUV LED. The SEE DUV LED has a regrown n-GaN-based Al mirror between the narrow mesa stripe structures. Narrow mesa stripes enable exposing the sidewall of the active region and effectively extracting sidewall emitting DUV photons. Al mirrors redirect the extracted photons from the sidewall toward the top. DUV, deep ultraviolet; LED, light-emitting diode; SEE, sidewall emission-enhanced.

mesa stripe structures to expose the sidewall of the MQWs for better extraction of the sidewall-emitting TM-polarized DUV light. While ohmic contacts on exposed n-type AlGaIn are located between the active mesa stripes for the reference LED, Al-coated selective-area-grown n-type GaN micro-mirrors are located between the mesas for the SEE DUV LED to redirect DUV photons emitted from the sidewall toward the top. The effect of the multiple narrow mesa stripe structures on light extraction and the effect of the micro-mirrors on the emission pattern are revealed by FEM simulations using COMSOL multiphysics (Supplementary Fig. S2)

### Fabrication of SEE DUV LEDs

AlGaIn SEE DUV LEDs with different numbers of stripes (from 5 to 45; Table 1) formed on the  $1\times 1$   $\text{mm}^2$  chip area were fabricated to investigate the effect of the exposed sidewall area of the active region on light extraction. The detailed fabrication process of the SEE DUV LEDs is described in the Supplementary Fig. S3. Here, we briefly introduce how to fabricate SEE DUV LEDs. The overall fabrication follows a conventional LED fabrication method. First, narrow mesa stripes are formed by photolithographic patterning followed by reactive ion etching with  $\text{BCl}_3$  and  $\text{Cl}_2$  gases (target etching depth is 1  $\mu\text{m}$ ). Then, 50 nm-thick  $\text{SiO}_2$  is deposited by plasma-enhanced chemical vapor deposition and selectively opened by photolithographic patterning and wet etching with buffered oxide etchant. The n-GaN microstructures are grown on the opening on the n-AlGaIn surface by selective area growth<sup>23,24</sup> by metal-organic vapor phase epitaxy regrowth for 3 min at 1000  $^\circ\text{C}$  at 200 mbar. The V/III ratio is 606, and the silane flow is 50 sccm. As a result of the selective area growth, three dimensional n-type GaN microstructures with isosceles trapezoidal stripes (from stripe-shaped openings) are obtained.<sup>25</sup> Then, the n-contact (Ti/Al/Ni/Au) is deposited on the regrown n-GaN microstructures as well as the exposed n-AlGaIn surface by electron-beam/thermal evaporation and annealed at 900  $^\circ\text{C}$  for 2 min in ambient  $\text{N}_2$ . The p-contact (Ni/Au) is deposited and annealed at 650  $^\circ\text{C}$  for 1 min in ambient air. Then, aluminum (150 nm) is thermally deposited on the grown n-GaN stripes. The final step is Ti/Au contact pad metallization for the n- and p-type contacts.

## RESULTS AND DISCUSSION

### Inherently strong sidewall emission in AlGaIn-based DUV LEDs

Fundamentally, light generated by the radiative recombination of conduction band (CB) electrons with VB holes is described by Fermi's golden rule, where the polarization of the generated light is

**Table 1** Various SEE DUV LEDs having a  $1 \times 1 \text{ mm}^2$  device area. As the number of stripes increases, the perimeter of the active mesa and the n-contact area increase and the area of the active mesa decreases

Number of stripes	Perimeter of active mesa (mm)	Area of active mesa ( $\times 10^4 \mu\text{m}^2$ )	n-contact area ( $\times 10^4 \mu\text{m}^2$ )
5	10.81	83.86	12.14
10	19.67	79.02	14.33
15	28.34	73.08	17.67
20	37.34	67.53	20.51
25	46.01	61.72	23.73
30	55.01	56.05	26.70
35	63.68	50.28	29.86
40	72.51	46.65	32.83
45	81.35	38.26	36.02

DUV, deep ultraviolet; LED, light-emitting diode; SEE, sidewall emission-enhanced.

determined by the CB states and the VB states involved in the transition. Coldren and Corzine<sup>26</sup> showed that the total spontaneous emission rate  $R_{\text{sp}}$  is given by

$$R_{\text{sp}} = C \times \int dE_{21} h\nu_{21} |\bar{M}_T(E_{21})|^2 \rho_r(E_{21}) f_2(1 - f_1)$$

Here,  $C$  is a constant that includes the active-region area, thickness, and the carrier density,  $E_{21}$  is the transition energy,  $h$  is the Planck constant,  $\nu_{21}$  is the frequency of the generated light,  $\rho_r$  is the reduced density of states,  $f_1$  and  $f_2$  are the Fermi–Dirac distributions for electrons and holes, and  $|\bar{M}_T|^2$  is the transition matrix element given by

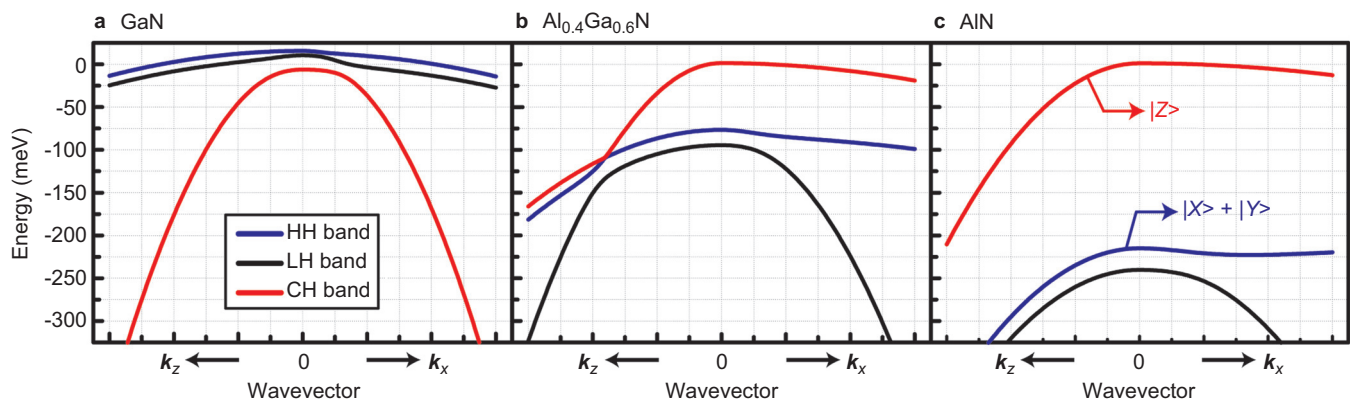
$$|\bar{M}_T(E_{21})|^2 = \frac{1}{3} |\langle F_2 | F_1 \rangle|^2 \sum_{\hat{e}_x, \hat{e}_y, \hat{e}_z} |\langle u_c | \hat{e} \cdot \mathbf{p} | u_v \rangle|^2$$

where  $F_1$  and  $F_2$  are the envelope functions of carriers and  $u_c$  and  $u_v$  are the Bloch functions of the CBs and VBs, respectively. The term  $\langle u_c | \hat{e} \cdot \mathbf{p} | u_v \rangle$  represents the polarization selection rule determining the allowed light polarization direction of the emitted light. Momentum transfer between CBs and VBs along the three principle directional unit vectors,  $\hat{e}_x$ ,  $\hat{e}_y$ ,  $\hat{e}_z$  determines the electric field direction (polarization direction). Thus, the symmetry of the CB and VB is the polarization-determining factor.

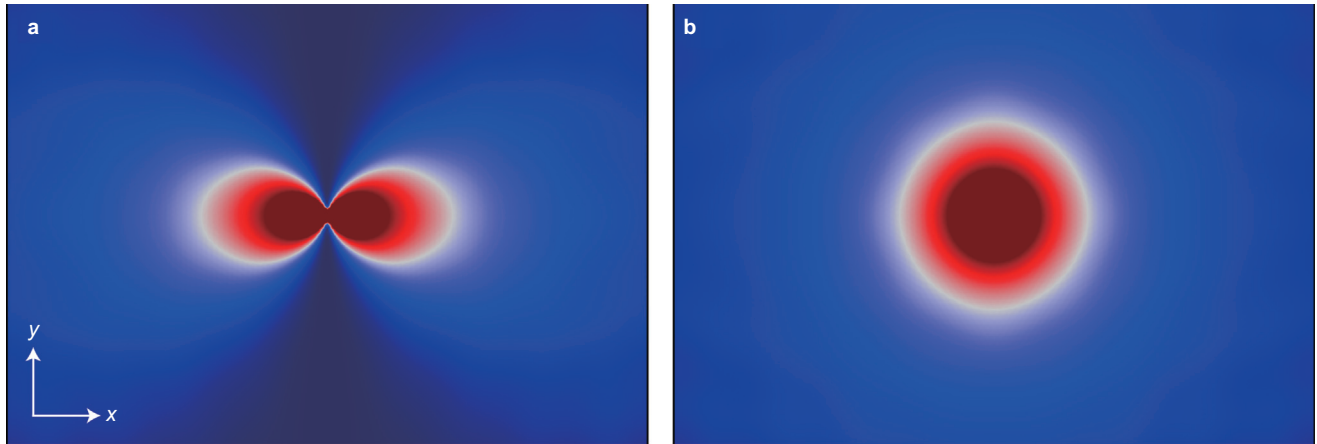
The Bloch function for states near the CB-edge at  $\mathbf{k}=0$  has same symmetry characteristics as a  $|S\rangle$ . Meanwhile, all VBs including the heavy hole (HH) band, the light hole (LH) band, and the CH band at

those maxima have the symmetry of P-orbitals. Both HH and LH possess two P-like orbital functions,  $|X\rangle$  and  $|Y\rangle$  in the  $c$ -plane along the  $a$ - and  $b$ -axis, and the CH band has  $|Z\rangle$ -like states. Therefore, the light polarization direction is determined by the symmetry of the topmost VB. The crystal field split-off energy ( $\Delta_{\text{CR}}$ ) determines the topmost state among the three VBs.<sup>27</sup> When  $\Delta_{\text{CR}}$  is positive, the topmost VB is the HH band, and it is converted to the CH band when  $\Delta_{\text{CR}}$  becomes negative. Figure 2 shows the dispersion relations of the VBs for  $c$ -plane GaN,  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{N}$ , and AlN calculated by a  $6 \times 6$  effective-mass Hamiltonian matrix for the wurtzite structure based on the  $k$ - $p$  perturbation theory as derived by Chuang and Chang.<sup>28</sup> Due to the positive  $\Delta_{\text{CR}}$ , the topmost VB of GaN is the HH band (Figure 2a), whose basis function is a linear combination of the  $P_X$ - and  $P_Y$ -orbital wave functions. In contrast, because of the negative  $\Delta_{\text{CR}}$  the topmost VB of AlN is the CH band, whose basis function strongly reflects the  $P_Z$ -orbital wave function, as shown in Figure 2c. By considering the momentum transfer between CB and VB based on their wave function symmetry, GaN and AlN predominantly generate in-plane (TE) polarized light and out-of-plane (TM) polarized light, respectively, while AlGaN has an intermediate property. For bulk  $\text{Al}_x\text{Ga}_{1-x}\text{N}$ , the sign of  $\Delta_{\text{CR}}$  changes from positive to negative when  $x$  becomes greater than 0.25.<sup>13</sup> For the AlGaN MQW DUV LEDs, this transition occurs at an Al content higher than 0.25 due to the quantum confinement effect and the strain in the QWs. For AlGaN DUV LEDs grown on sapphire, the transition generally occurs at the wavelength of approximately 295 nm corresponding to an Al content of approximately 35%.<sup>29</sup> Thus, high Al-content AlGaN more closely resembles AlN than GaN, and thus, generates predominantly TM-polarized light. The calculated VB structure of  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{N}$ , used as an active layer for 285-nm DUV LED is shown in Figure 2b. The topmost VB of  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{N}$  is the CH band, so the 285-nm DUV LED fabricated in this study is expected to generate predominantly TM-polarized light. The polarization ratio,  $(I_{\text{TE}} - I_{\text{TM}})/(I_{\text{TE}} + I_{\text{TM}})$ , of the 285-nm DUV LED is measured to be 0.067, indicating a strong TM-polarization (Supplementary Fig. S4), consistent with the expectation and previous report.<sup>29</sup>

Figure 3 shows a time-averaged power flow radiated from dipole sources. Figure 3a is the radiation pattern of a single electric dipole surrounded by air. Its dipole moment is along the  $y$ -axis. The figure clearly shows that the anisotropic emission with light propagation perpendicular to the direction of dipole moment, i.e., the  $x$ -axis in this calculation, is much stronger than the emission along directions parallel to dipole moment. When there are two incoherent dipole



**Figure 2** VB structure. VB structure of unstrained (a) GaN, (b)  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{N}$  and (c) AlN calculated by  $6 \times 6$  VB Hamiltonian matrices for wurtzite semiconductors. The Topmost VB of GaN is a HH band possessing two P-like orbital functions  $|X\rangle$  and  $|Y\rangle$ . In contrast, the topmost VB of AlN and high Al content AlGaN (for example,  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{N}$ ) is a CH band possessing  $|Z\rangle$ -like states. CH, crystal field split-off hole; HH, heavy hole; VB, valence band.



**Figure 3** Dipole emission patterns. Emission pattern, simulated by COMSOL multiphysics, of (a) a single electric dipole source whose dipole moment is along the  $y$ -axis and (b) two incoherent dipole sources whose dipole moment directions are orthogonal, i.e., one electric dipole is along the  $x$ -axis, and another dipole is along the  $y$ -axis. In the case of (a), a single electric dipole generates anisotropic light perpendicular to its dipole moment direction, but in the case of (b), two incoherent dipoles generate isotropic light.

sources whose dipole moment directions are orthogonal, i.e., one electric dipole is along the  $x$ -axis, and another dipole is along the  $y$ -axis, isotropic light emission is generated, as shown in Figure 3b. Based on the dipole radiation approximation<sup>30</sup> described by

$$\int u_c^*(\hat{\mathbf{e}} \cdot \mathbf{p}) u_v \cdot e^{-i\mathbf{k} \cdot \mathbf{r}} d\tau \sim \int u_c^*(\hat{\mathbf{e}} \cdot \mathbf{p}) u_v d\tau = m \frac{d}{dt} \int u_c^*(\hat{\mathbf{e}} \cdot \mathbf{r}) u_v d\tau$$

the emission characteristics of GaN and AlN can be predicted. For GaN, the HH-band recombination is approximated by two incoherent dipole sources aligned along the  $x$ - and  $y$ -directions, consistent with the symmetry of the HH band. Therefore, predominantly isotropic TE-polarized light is generated. However, the emission from AlN can be regarded as an outcome of a single dipole source aligned along the  $z$ -direction due to the  $P_z$ -orbital-like symmetry of the CH band. Thus, AlN emission is strongly anisotropic and TM-polarized so that the emission in the  $c$ -plane is much stronger than that perpendicular to the  $c$ -plane.

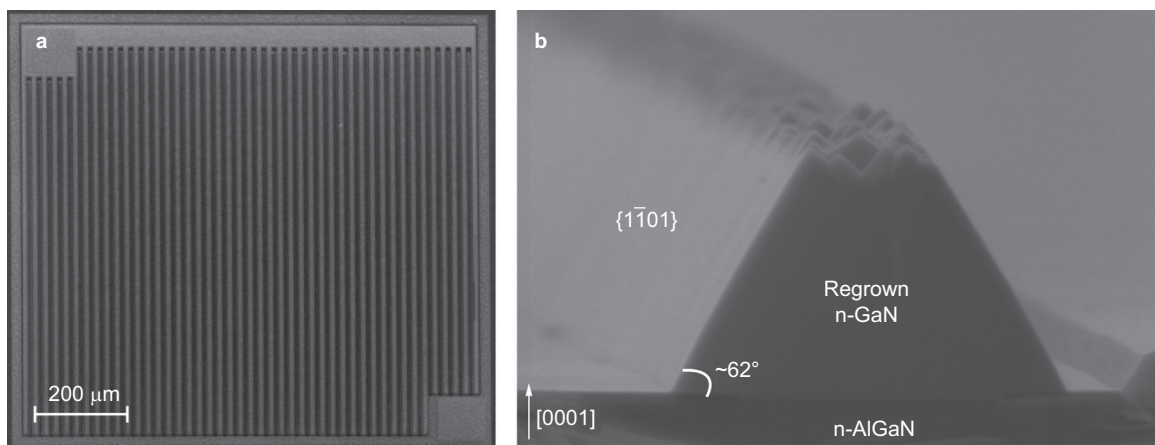
#### SEE DUV LEDs: device geometries

Figure 4a shows a scanning electron microscopy (SEM) image of stripe-patterned SEE DUV LEDs with 45 mesa stripes (brighter stripes

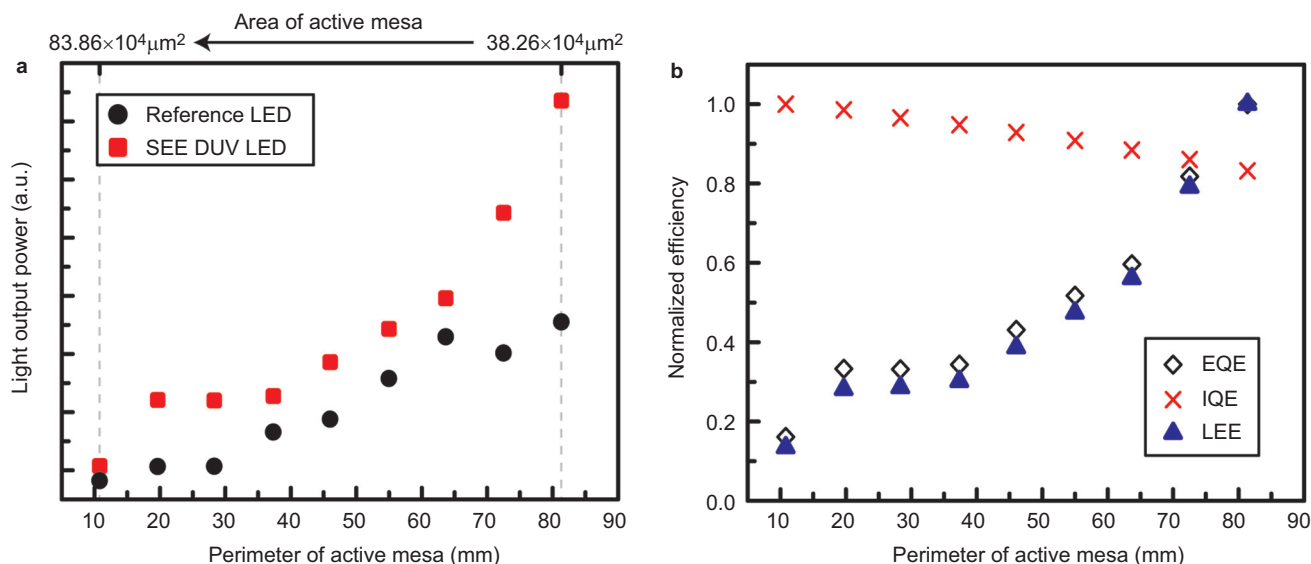
in the SEM image). Regrown  $n$ -GaN stripes covered by an ohmic contact and an Al reflector exist between mesa stripes (darker stripes in the SEM image). Other SEE DUV LEDs having a different number of stripes are shown in Supplementary Information S5. Figure 4b is the cross-sectional SEM image of the typical regrown  $n$ -GaN stripes, and it has a trapezoidal shape, i.e., a wide-bottom-and-narrow-top geometry, to reflect DUV photons emitted from the mesa sidewalls upward. The inclined facet corresponds to the  $\{1\bar{1}01\}$  facet, which is generally obtained when the window mask is along  $\langle 11\bar{2}0 \rangle$  on the  $c$ -plane.<sup>25</sup> The perimeter of the active stripe-patterned mesa structures, active area, and  $n$ -contact area of SEE DUV LEDs having different numbers of stripes are summarized in Table 1.

#### SEE DUV LEDs: device performance

Figure 5a shows the relative light output power (LOP) of the reference and SEE DUV LEDs at an injection current of 100 mA with various numbers of mesa stripes measured in the surface normal direction (on the  $c$ -axis) using a Si photodiode. Note that the LOP and current-voltage characteristic measurements were performed under pulse mode to exclude a thermal effect enabled by the geometry of the SEE DUV



**Figure 4** SEM images. SEM image of (a) an SEE DUV LED having 45 stripes. Brighter stripes and darker stripes are the mesa stripes and regrown  $n$ -GaN stripes covered by  $n$ -metal and Al, respectively. (b) Cross-sectional SEM image of typical regrown  $n$ -GaN stripes. The inclined facet corresponds to the  $\{1\bar{1}01\}$  facet with an inclined angle of  $62^\circ$ . DUV, deep ultraviolet; LED, light-emitting diode; SEM, scanning electron microscopy.



**Figure 5** LOP and LEE. LOPs of the reference LEDs and SEE DUV LEDs measured in the surface normal direction at an injection current of 100 mA as a function of (a) the perimeter of the active region and the active area. (b) Normalized EQE, IQE and LEE of the various SEE DUV LEDs. DUV, deep ultraviolet; EQE, external-quantum efficiency; IQE, internal-quantum efficiency; LED, light-emitting diode; LEE, light-extraction efficiency; LOP, light output power; SEE, sidewall emission-enhanced.

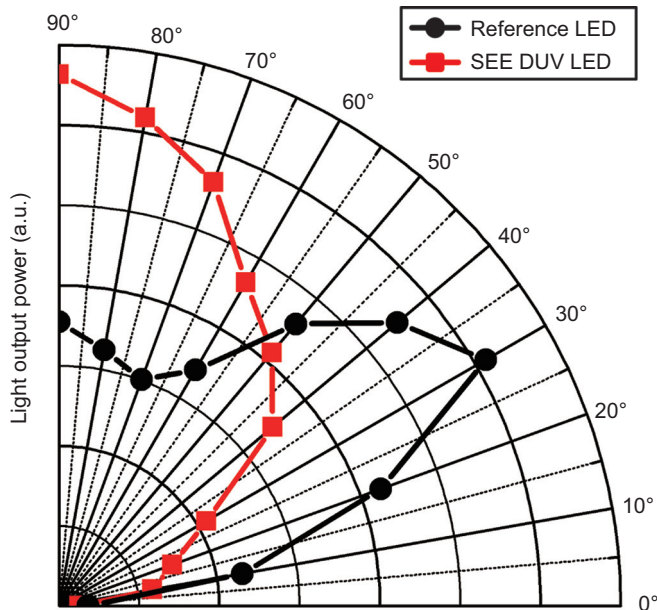
LEDs that can effectively alleviate lateral current crowding.<sup>8,31–33</sup> (see LOP as a function of injection current in Supplementary Fig. S6). The exposed sidewall area of the MQW active region can be quantified by the perimeter of the mesa stripes because that area is the perimeter of the active mesa stripe multiplied by the total thickness of the QWs, which is constant for all samples. The LOP increases upon increasing the perimeter of the active mesa for both the reference and SEE DUV LEDs, which is attributed to two reasons. First, DUV photons are effectively extracted through the exposed sidewall of the MQW. Secondly, the probability of re-absorption at the active region and the p-GaN contact formation layer becomes smaller by increasing the number of stripes, i.e., by increasing the perimeter of active stripes, because the travel length of DUV photons prior to being extracted becomes shorter. Compared with reference LEDs with the same number of stripes, SEE DUV LEDs show higher LOPs because a part of the emitted DUV photons through the sidewalls is more likely to be reabsorbed by the MQW and the p-GaN contact formation layer of the neighboring mesa stripes in the reference LEDs than be reflected by the Al reflectors in the SEE DUV LEDs. The reflection of extracted DUV photons at the Al mirrors increasing the LOP in the surface normal direction compared with the reference LED without Al mirrors will be further discussed later with an angle-dependent LOP result.

Figure 5b shows the normalized EQE, internal-quantum efficiency (IQE) and LEE of SEE DUV LEDs as a function of the perimeter of the active region (Supplementary Fig. S7 for details of the calculation). As the perimeter of the active mesa increases, the IQE decreases due to the efficiency droop—i.e., the decrease in IQE with increasing injection current density. SEE DUV LEDs with a longer perimeter of the active mesa have a smaller active area and a higher current density at the same injection current and thus suffer more from IQE droop. Despite the slight decrease in IQE, the EQE increases upon increasing the perimeter due to the remarkable enhancement in LEE.

Figure 5a also shows the relative LOPs of the reference and SEE DUV LEDs as functions of the active mesa area. Interestingly, the LOPs of both DUV LEDs decreases upon increasing the area of the active mesa, which can be explained as follows: The area of the active

region is inversely proportional to the perimeter for the same device size (1 × 1 mm<sup>2</sup>, Table 1), demonstrating that enhancing the extraction of DUV photons by increasing the perimeter of the sidewall of the MQW mesas is much more effective than increasing the active area where DUV photons are generated. In addition, the effective active area where electrons and holes recombine to generate DUV photons can be different from the geometrical active area, especially for devices operated by the lateral flow of carriers through ohmic contacts and an active layer in between. The effective area can be quantified by the transfer length ( $L_T$ ) of carriers.<sup>7</sup> Due to the low carrier concentration and low carrier mobility of AlGaN, the  $L_T$  is limited to only a few tens of microns at most, depending on ohmic contact resistivity (Supplementary Fig. S8). Therefore, LEDs having more mesa stripes with a smaller width, i.e., a smaller geometrical active mesa area, possess a larger effective active mesa area and hence show enhanced LOP. Thus, we anticipate that the LOP can be further enhanced by introducing narrower mesa structures for a larger sidewall area. Conditions for obtaining an optimum LOP will be discussed in the section of ‘Future perspectives’.

The angle-dependent LOPs of the reference and SEE DUV LEDs are investigated to confirm the effect of incorporating the Al mirror on the directionality of the extracted DUV photons, as shown in Figure 6. First, the strong sidewall-emission of the DUV LED is reflected in the emission pattern of the reference DUV LED. Light emission at an angle of 30° is greater than that at other angles and is consistent with the emission pattern of the *c*-plane AlN LED.<sup>11,12</sup> In contrast, the emission pattern of the SEE DUV LED is similar to that of a conventional *c*-plane GaInN LED. Emission in the surface-normal direction, 90°, is significantly enhanced, while emission at angles lower than approximately 50° is reduced. This is due to the reflection of DUV light by the Al mirror. Based on the SEE DUV LED chip geometry (Supplementary Fig. S9), DUV light emitted at an angle lower than approximately 45° is expected to be blocked and reflected by the Al mirror. Thus, the experimentally observed enhanced (reduced) LOP at an angle higher (lower) than approximately 50° can be understood by the geometry of the Al mirror.



**Figure 6** Angle-dependent LOP. Representative angle-dependent LOP of the reference LED and SEE DUV LED having 45 active mesa stripes. The reference LED shows strong sidewall emission with the highest LOP at an angle of 30°. The SEE DUV LED shows much enhanced surface-normal emission due to reflection by the Al mirrors. DUV, deep ultraviolet; LED, light-emitting diode; LOP, light output power; SEE, sidewall emission-enhanced.

Representative current–voltage ( $I$ – $V$ ) characteristics of a SEE DUV LED and a reference LED with 45 stripes are shown in Figure 7a. An improved electrical property is observed in a SEE DUV LED whose operating voltage is 7.55 V at an injection current of 100 mA, which is 0.25 V lower than that of the reference LED. Operating voltages at 100 mA for stripe-patterned SEE DUV LEDs and reference LEDs are summarized in Figure 7b as a function of the perimeter of active mesa. For both SEE DUV LEDs and reference LEDs, the operating voltage becomes smaller with increasing perimeter, i.e., increasing n-contact

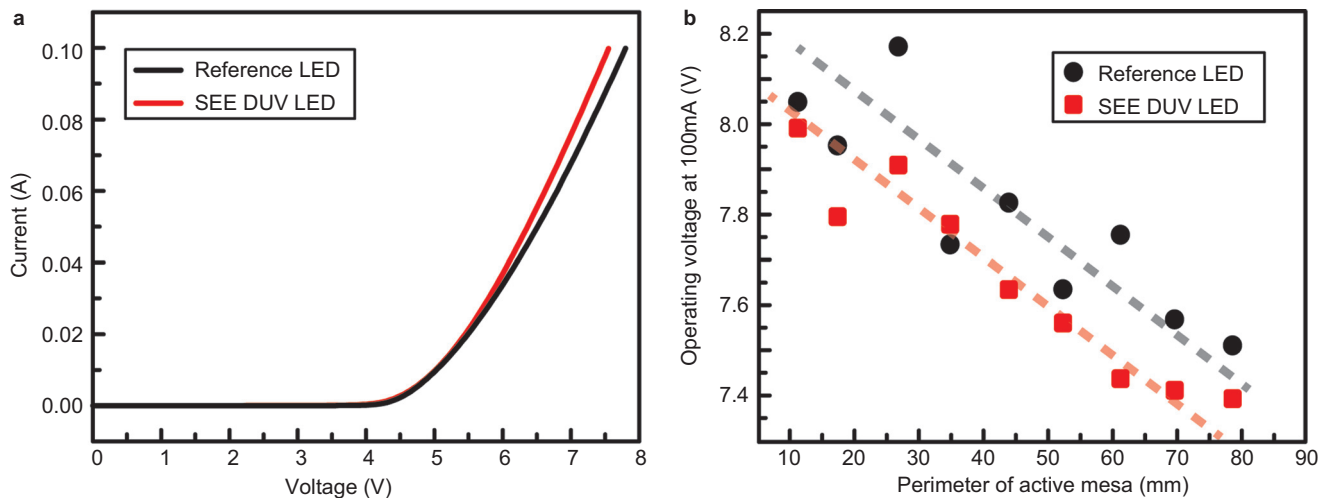
area (Table 1). In addition, SEE DUV LEDs show a lower operating voltage than the reference LED, which is attributed to two reasons: (i) better ohmic contact formation on the regrown n-type GaN than on n-type AlGaIn and (ii) larger ohmic contact area in SEE DUV LEDs than in the reference LED due to the three-dimensional geometry of the regrown n-type GaN microstructures. These observations also imply that the narrower mesa stripe structure can not only increase the LEE of the SEE DUV LED but can also reduce the operating voltage by using a better n-contact with a larger contact area.

### Future perspectives

We demonstrated a new LEE-enhancing technique for AlGaIn DUV LEDs by taking advantage of their inherently strong TM-polarized sidewall emission. Based on the current study, there is much room for further enhancing the LOP and electrical properties as well as emission-pattern controllability not only for top-emitting DUV LEDs but also for bottom-emitting SEE DUV LEDs with flip-chip configuration, where the reflector geometry needs to be modified to promote emission towards the substrate.

According to Figure 5a, the amount of extracted light can be further increased by exposing a greater sidewall area of the MQW mesas by employing narrower mesa stripe structures with reduced width and a larger numbers of stripes. However, there will be an optimum width of the mesa stripes for maximum LOP without introducing a reliability issue that might be caused by the high current density when using very narrow stripes. The optimum mesa width of the stripes is anticipated to be  $2L_T$ , where  $L_T$  is the effective width of the mesa within which most carriers pass through the active region, thus determining the effective light-emitting area.<sup>7</sup> Therefore, with a mesa stripe width of approximately  $2L_T$ , the effective active area coincides with the geometrical active area, so that the entire active mesa area can emit light uniformly without a current crowding problem and localized emission. In addition, such optimal SEE DUV LEDs with narrow stripes should reduce operating voltages as well as enhance the LEE because the n-contact area increases as the number of stripe increases.

Meanwhile, it is experimentally confirmed that emitting DUV photons are reflected by the Al mirror, and the emission pattern of



**Figure 7** Electrical properties. (a) Representative  $I$ – $V$  characteristics of the reference DUV LED and SEE DUV LED having 45 active mesa stripes, and (b) the operating voltage at 100 mA as a function of the perimeter of the active mesa. SEE DUV LEDs show better  $I$ – $V$  characteristics and a reduced operating voltage due to better ohmic contact formed on the regrown n-GaN and a larger ohmic contact area than that of the reference LED due to the three-dimensional shape of the regrown n-GaN. DUV, deep ultraviolet; LED, light-emitting diode; SEE, sidewall emission-enhanced.

the SEE DUV LED is quite different from that of the reference DUV LED. Therefore, the emission pattern of the SEE DUV LED can be controlled by employing Al mirrors with various geometries. One possible way to control the shape of the Al mirror is by orienting the window mask along different crystal directions. Various facets can be obtained by changing the crystal direction of the window mask, and thus the emission pattern should change accordingly. For example, the  $\{11\bar{2}2\}$  facet appears when the window mask is along the  $\langle 1\bar{1}00 \rangle$  direction in the  $c$ -plane.<sup>34</sup> The incline of the surface of this facet is  $54.7^\circ$ , which is smaller than that of the  $\{1\bar{1}01\}$  facet. Then, emission at the mid-angle range will be further improved, while the enhancement in the surface-normal direction decreases. In addition, the size of the Al mirror also affects the emission pattern. If a larger Al mirror is adopted, a higher surface-normal DUV emission can be obtained.

Top-emitting SEE DUV LEDs have the potential to exceed current DUV LEDs with a flip-chip configuration in terms of LOP. Assuming that the linear trend in Figure 5a persists, optimized top-emitting SEE DUV LEDs can be much more effective than the current flip-chip DUV LEDs. However, due to better heat dissipation in flip-chip devices, the development of bottom-emitting SEE DUV flip-chip LEDs is important for the adoption of the proposed technology. We currently develop such SEE DUV LEDs for flip-chip configuration with inclined sidewalls, reflecting photons up through the substrate by using conventional LED fabrication processes without  $n$ -GaN regrowth; promising preliminary results are consistent with experimental data of the present work. Finally, we would like to note that the SEE DUV LED concept will be more effective when the Al content in the active region increases, i.e., the emission wavelength becomes shorter because of the TM-polarized light emission.

## CONCLUSIONS

In summary, we propose a new LEE-enhancing strategy for AlGaIn-based DUV LEDs utilizing their inherently strong sidewall emission property. The proposed SEE DUV LED includes multiple narrow active-region mesa stripes with Al-coated selective area-grown  $n$ -GaIn reflector stripes located between the mesa stripes. We experimentally observed that the LOP increases upon increasing the active-region sidewall perimeter, which is elucidated by the strong sidewall emission of the AlGaIn active layer with high Al content. In addition, the Al-coated regrown  $n$ -GaIn mirror can reflect side-emitted UV photons upward so that emission along the surface-normal direction is enhanced. Furthermore, SEE DUV LEDs have a lower operating voltage than reference devices due to a less resistive  $n$ -contact on the regrown  $n$ -GaIn, as well as a larger contact area enabled by using a three-dimensional geometry. Therefore, the optically and electrically improved AlGaIn DUV LEDs are demonstrated by means of a greater LEE and lower operating voltage. Further improvements in LEE and operating voltage are expected by geometry optimization such as optimizing the distance between the mesa stripes, the width of the mesa stripes, and the size of the regrown  $n$ -GaIn microstructure. We propose that the optimized mesa stripe width should be twice the carrier transfer length,  $L_T$ . The same LEE-enhancing concept can be applied to DUV LEDs for flip-chip configuration so that more efficient high-power DUV LEDs can be developed.

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